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## Separation Science and Technology

Publication details, including instructions for authors and subscription information:

<http://www.informaworld.com/smpp/title~content=t713708471>

### Dynamic Membranes. II. Concentration Effects on Reflection Coefficient and Specific Resistance of Bovine Serum Albumin "Gel" Layer

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**To cite this Article** Turkson, A. K. , Mikhlin, J. A. and Weber, M. E.(1990) 'Dynamic Membranes. II. Concentration Effects on Reflection Coefficient and Specific Resistance of Bovine Serum Albumin "Gel" Layer', Separation Science and Technology, 25: 1, 31 – 44

**To link to this Article:** DOI: 10.1080/01496399008050319

**URL:** <http://dx.doi.org/10.1080/01496399008050319>

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## Dynamic Membranes. II. Concentration Effects on Reflection Coefficient and Specific Resistance of Bovine Serum Albumin "Gel" Layer

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### Abstract

A comparison was made between the influence of surface concentration on the reflection coefficient of bovine serum albumin computed from experimental data and the predicted effect using a rejection model. A simple mathematical model of solute buildup during axial electrofiltration was used to determine the effect of concentration on the specific resistance of the solute layer.

### INTRODUCTION

Experimental results in the literature showing the influence of the concentration of macromolecules on the reflection coefficient for membranes that are permeable to the solute are limited. A comparison between experimental results and predictions from the models that have been proposed recently (1, 2) has not been possible. The first objective of this study was to fill this gap. The second objective was to obtain a better understanding of the mechanisms involved in the formation of solute layers on membrane surfaces during filtration of macromolecules by computation of the specific resistances for different concentrations.

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The experimental procedure involved the filtration of bovine serum albumin (BSA) dissolved in a solution of disodium phosphate at pH 8 in an axial electrofilter. Descriptions of the experimental set up and operating conditions are provided in Part I (3).

### PREDICTION OF EFFECT OF CONCENTRATION ON REFLECTION COEFFICIENT

Rejection of macromolecules is said to occur by two basic mechanisms (4). The first of these is an equilibrium partitioning of solute between the solution just inside and outside a pore entrance. The second mechanism involves a sieving effect.

Anderson and Adamski (1) derived a model for the effect of concentration on the reflection coefficient when equilibrium partitioning is predominant. The flux of spherical molecules through a circular pore was computed by integrating the local unperturbed fluid velocity over the relative distribution of molecules, which is given by the Boltzmann expression. By assuming a hard sphere-pore wall interaction, laminar flow of a Newtonian liquid in the pore and negligible concentration and electrostatic effects, the following relationship was obtained for the reflection coefficient:

$$\sigma = (\lambda(2 - \lambda))^2 + 4\lambda^2(1 - \lambda)^2/3 \quad (1)$$

where  $\lambda$  is the ratio of the solute radius to the pore radius. The introduction of a concentration term in the basic equations yielded the following expression:

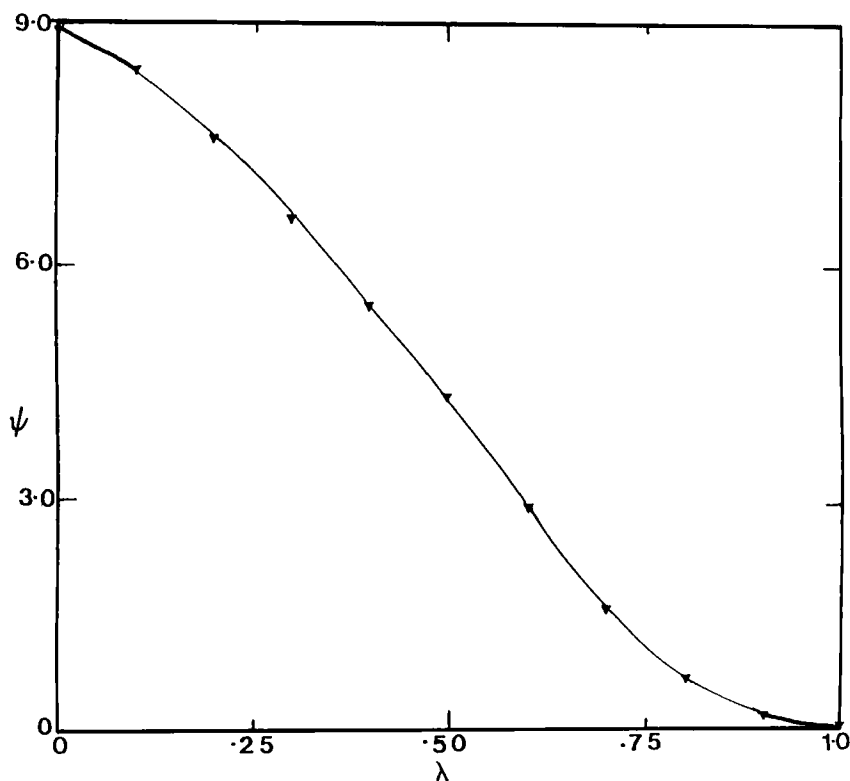
$$\sigma_s = \sigma(1 + 0.01\psi C_s)^{-1} \quad (2)$$

where  $\sigma_s$  is the reflection coefficient at a surface concentration  $C_s$  and  $\psi$  is approximated by Fig. 1. The reflection coefficient may also be computed from experimental data through the following relationship:

$$\sigma_s = 1 - C_p/C_s \quad (3)$$

where  $C_p$  is the concentration of the solute in the permeate.

Equation (2) predicts that, in the absence of electrostatic effects, the reflection coefficient decreases with increasing solute concentration, a prediction confirmed by filtration of Dextran (5) and polystyrene (6).

FIG. 1. Plot of  $\psi$  versus  $\lambda$ .

In this work,  $C_s$  was estimated by employing the thin film mass transfer model for a membrane which is permeable to the solute (7):

$$C_s = C_p + (C_o - C_p) \exp (J_\infty/k) \quad (4)$$

where  $C_o$  is the bulk concentration,  $J_\infty$  is the steady-state flux, and  $k$ , the mass transfer coefficient, was computed from the following empirical relationship given for a rotating cylinder in a stationary external cylinder (8):

$$k = 0.0791u_i\text{Sc}^{-0.644}\text{Re}^{-0.30} \quad (5)$$

where  $u_i$  = peripheral velocity of the rotating inner cylinder  
 $Sc$  = Schmidt number  
 $Re$  = Reynolds number

### CALCULATION OF THE SPECIFIC RESISTANCE OF THE SOLUTE LAYER

Assuming the resistance of the filter medium to be negligible and the resistance of the solute layer,  $R_c$ , to be proportional to its thickness,  $\delta_c$ , the flux is given by

$$J = \Delta P_F / K_c \delta_c \quad (6)$$

where  $\Delta P_F$  is the pressure drop causing filtration and  $K_c$  is a proportionality constant dependent on the properties of the solute layer. Applying Darcy's law to the flow of filtrate through a solute layer yields

$$J = \Delta P_F / \alpha \mu \rho_p (1 - \epsilon) \delta_c \quad (7)$$

where  $\alpha$  is the hydraulic resistance of the solute layer,  $\mu$  is the viscosity of the filtrate,  $\rho_p$  is the density of the solute, and  $\epsilon$  is the porosity of the solute layer. Equating the fluxes yields

$$K_c = \alpha \mu \rho_p (1 - \epsilon) \quad (8)$$

Replacing  $K_c$  by the relationship obtained by Turkson (9) yields

$$\alpha = S \Delta P / 2R(1 - J_\infty / R J_0) \mu C_0 \quad (9)$$

where  $S$  = the slope of a small time ( $t < 90$  min) plot of  $(J^{-2} - J_0^{-2})$  versus time

$R$  = rejection =  $1 - C_p / C_0$

$J_0$  = the filtrate flux at time  $t = 0$

## DISCUSSION OF RESULTS

## Effect of Concentration on Reflection Coefficient

The procedure for calculating the reflection coefficient of BSA involved first the computation of the diffusivity of BSA in aqueous solution from the following empirical fit to BSA diffusivity data obtained by Phillies et al. (10) at  $T = 30^\circ\text{C}$  and  $\text{pH} = 8$ :

$$D_c = (7.02 \times 10^{-7}) + (6.9 \times 10^{-9}C_o) \quad (10)$$

Next, the mass transfer coefficient was calculated from Eq. (5). Equation (4) was then employed to calculate the concentration of BSA at the surface of the membrane. In the absence of steady-state data, the flux and BSA concentration in the permeate after 180 min of filtration,  $J_{180}$  and  $C_{p180}$ , respectively, were used in Eq. (4) to calculate the surface concentration after 180 min,  $C_{s180}$ . Predictions of  $\sigma_s$  for different  $\lambda$  values were then calculated from Eq. (2), and Eq. (3) was used to calculate  $\sigma_s$  from experimental data.

Tables 1 and 2 give values of  $C_{s180}$  computed from experimental  $J_{180}$  and  $C_{p180}$  for CdS and  $\text{ZrO}_2$ , respectively. The  $C_{s180}$  values for  $C_o < 0.5$  wt% are unreliable because of their sensitivity to  $J_{180}/k$ . Table 1 contains  $C_{s180}$  values computed from replicate runs for  $C_o = 0.5$  wt% and  $N = 2000$  rpm with CdS. The 95% confidence interval for the mean  $C_{s180}$  is  $2.01 \pm 0.40$  wt%. Since the precision of the runs improves with declining flux (11), the reliability of  $C_{s180}$  is better at higher concentrations.

TABLE 1  
Variation of  $C_{s180}$  with Bulk Concentration for CdS Dynamic Membrane

$C_o$ (wt%)	$C_{p180}$ (wt%)	$J_{180}/k$	$C_{s180}$ (wt%)
0.5	0.28	1.95	1.86
0.5	0.30	2.08	1.90
0.5	0.30	2.29	2.28
1.0	0.60	1.51	2.41
0.5	3.25	0.34	5.70
10.0	6.00	0.29	11.30

TABLE 2  
Variation of  $C_{s180}$  with Bulk Concentration for  $\text{ZrO}_2$  Dynamic Membrane

$C_o$ (wt%)	$C_{p180}$ (wt%)	$J_{180}/k$	$C_{s180}$ (wt%)
0.5	0.28	0.87	0.81
1.0	0.58	0.35	1.18
5.0	3.00	0.25	5.60
10.0	6.00	0.20	10.90

For  $\text{ZrO}_2$ , the flux after 50 min of filtration was  $4.7 \times 10^{-3}$  cm/s with a rejection of 75% at  $C_o = 0.1$  wt% and pH = 8 (9). After 50 min of filtration of BSA at  $C_o = 0.1$  wt% and pH = 7.4 with Amicon XM-100 membrane, Fane et al. (12) obtained a flux of  $4.2 \times 10^{-3}$  cm/s and a rejection of 80%. In view of the similarity between the two results, the average pore diameter of  $\text{ZrO}_2$  is probably close to 175 Å, the value obtained for Amicon XM-100 membrane (13). Since the Stokes-Einstein diameter of a BSA molecule is 74 Å, the ratio of solute diameter to pore diameter for  $\text{ZrO}_2$  is probably close to 0.40.

Figures 2 and 3 show that the reflection coefficients computed from  $C_{s180}$  and  $C_{p180}$  decrease with increasing surface concentration, in agreement with the trend predicted theoretically. For  $\text{ZrO}_2$ , the effect of  $C_{180}$  on  $\sigma$  agrees with the theoretical prediction from Eq. (2) when  $\lambda$  is assumed to be 0.5, a value close to that computed on the basis of the similarity between  $\text{ZrO}_2$  and Amicon XM-100 membrane. For CdS, the effect of  $C_{s180}$  on  $\sigma$  is not accounted for by a single value of  $\lambda$ . The poorer agreement for CdS may be due either to overestimates of  $C_s$  by  $C_{s180}$  or to factors not taken into consideration by the model of Anderson and Adamski (1), e.g., electrostatic forces (2).

At lower bulk concentrations,  $J_{180}$  values for CdS are higher than those for  $\text{ZrO}_2$ . The  $J_{180}$  values for CdS are therefore farther from the steady-state flux and the overestimation of  $C_s$  by  $C_{s180}$  is greater. The deviations of the  $\sigma_{s180}$  from steady-state values are therefore higher for CdS than for  $\text{ZrO}_2$ . If the steady-state flux for CdS at  $C_o = 0.5$  wt% and  $C_o = 1.0$  wt% is assumed to be lower than  $J_{180}$  by 20 and 15%, respectively, the corresponding surface concentrations of 1.38 and 2.05 wt% yield  $\sigma$  values of 0.78 and 0.7, respectively. By using these values, the variation of  $\sigma$  with surface concentration for CdS would be described by the theory of Anderson and Adamski with  $\lambda = 0.55$ .

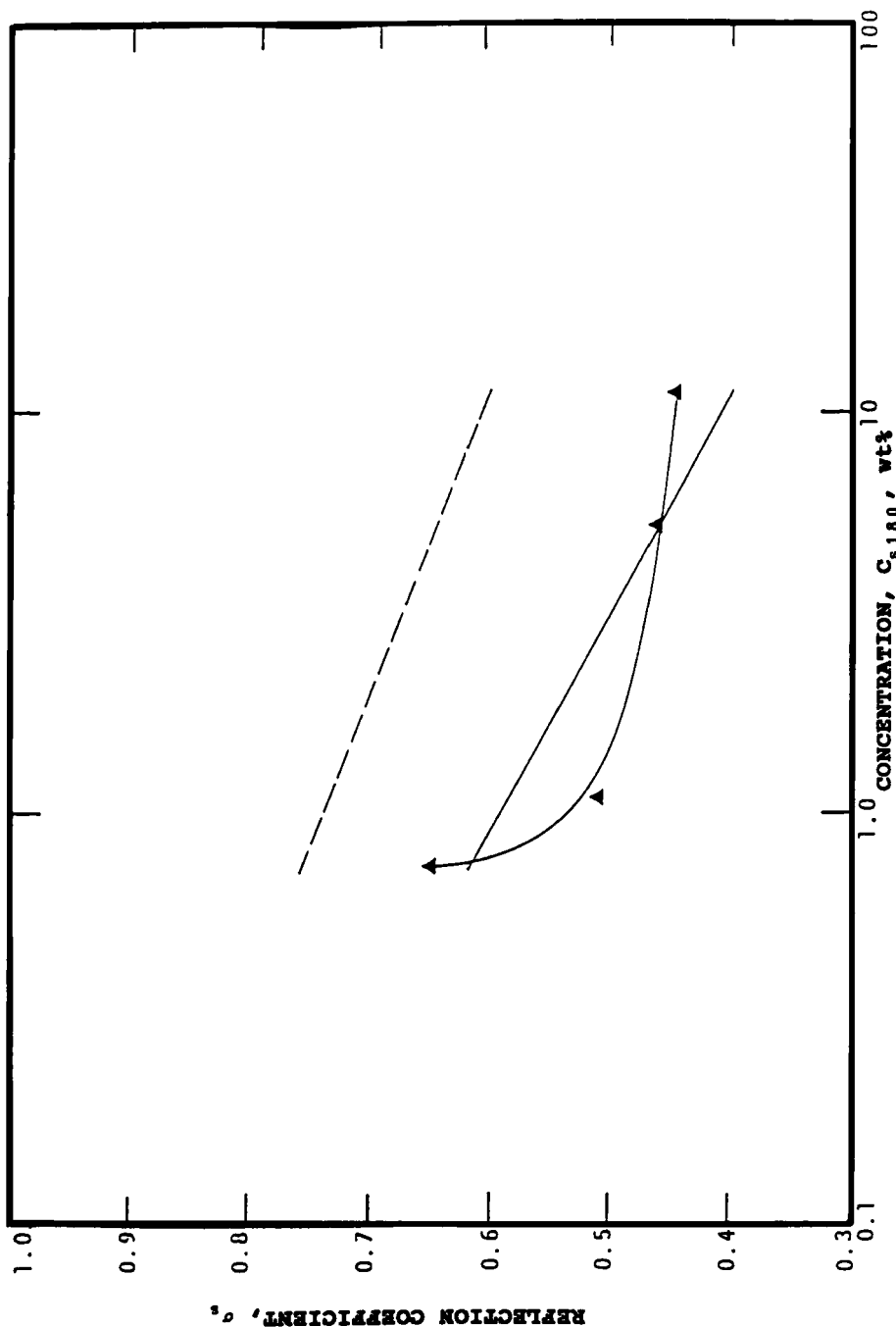


FIG. 2. Effect of BSA concentration at surface of  $\text{ZrO}_2$  dynamic membrane on reflection coefficient. Theoretical predictions of  $\lambda$ : (—) 0.5, (---) 0.6. ( $\Delta$ ) Experimental points.  $N = 2000$  rpm,  $\text{pH} = 8$ ,  $\Delta P = 138$  kPa,  $T = 30^\circ\text{C}$ .

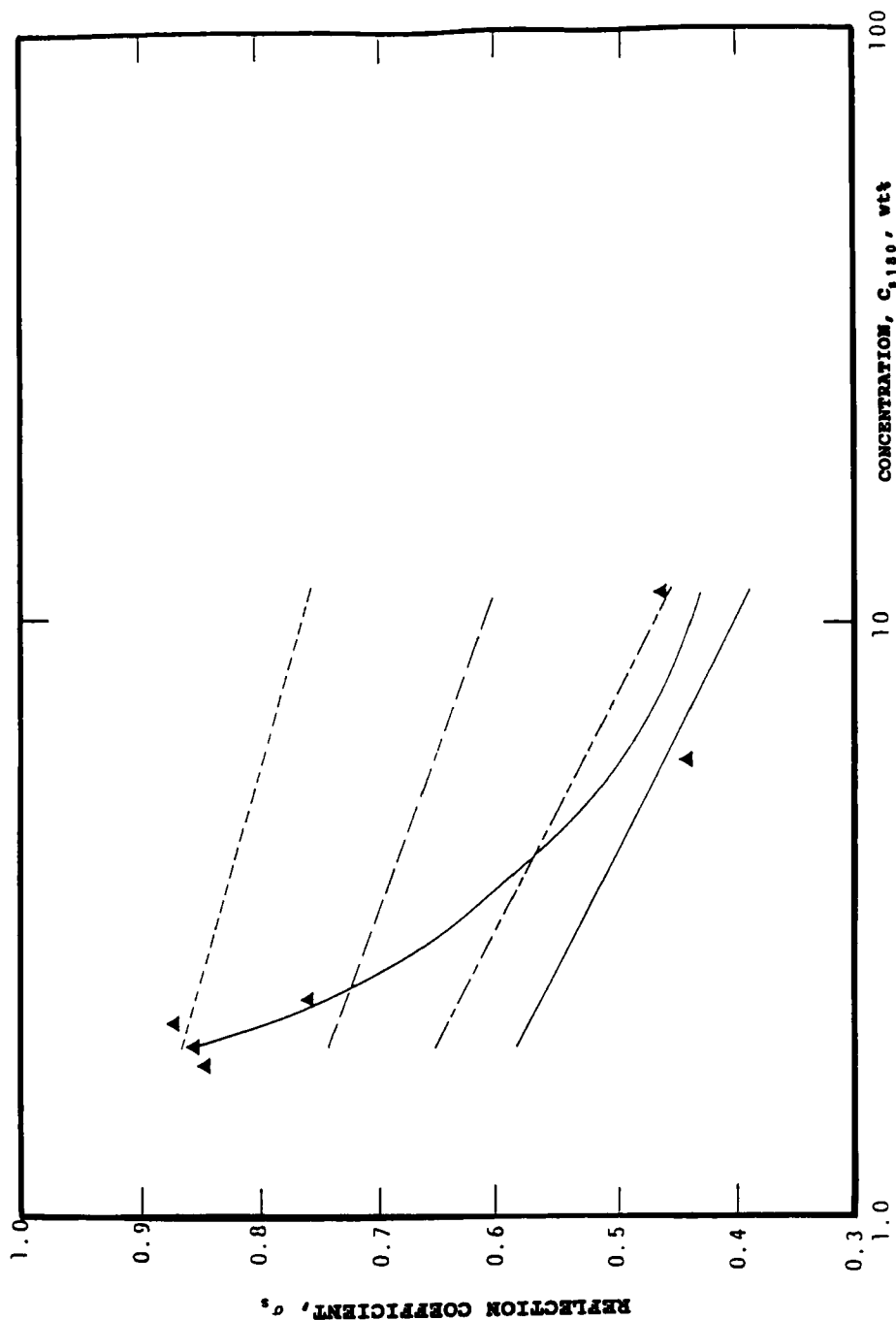


FIG. 3. Effect of BSA concentration at surface of CdS dynamic membrane on reflection coefficient. Theoretical predictions of  $\lambda$ : (—) 0.50, (---) 0.55, (- - -) 0.60, (· · ·) 0.70. ( $\blacktriangle$ ) Experimental points.  $N = 2000$  rpm,  $\text{pH} = 8$ ,  $\Delta P = 138$  kPa,  $T = 30^\circ\text{C}$ .

The presence of electrostatic forces may affect the reflection coefficient and cause the relationship between  $\sigma$  and surface concentration to be different from that predicted by Eq. (2) in which only steric interaction was considered. For example, if the total interaction energy is attractive, then, as pore closure due to adsorption increases at higher concentration, the decline in reflection coefficient with increasing surface concentration will be more severe than predicted by concentration effects alone. A confirmation of this expectation is provided by the data of Fane et al. (12), which showed a decrease in BSA rejection when the pH was decreased from 9, where the solute is negatively charged, to pH = 5, which is close to the isoelectric pH of 4.7, where BSA is neutral. Without considering concentration effects, the rejection decreased as adsorption increased due to reduction in repulsion between the solute and the membrane.

The mobility of BSA was measured in the presence of dynamic membrane-forming additives in a microzone electrophoresis cell. The negative charge on the BSA molecule at pH = 8 was reversed in the presence of both CdS and ZrO<sub>2</sub>. The results with CdS were similar to those reported by Schilling (14). Since CdS is negatively charged at pH = 1.2, the pH of formation, the interaction between the CdS dynamic membrane and the BSA layer with which it is in direct contact is probably attractive. For ZrO<sub>2</sub>, the interaction is probably repulsive because ZrO<sub>2</sub> is positively charged at the pH of formation of 3.5. The decline in reflection coefficient with increasing surface concentration for CdS is therefore expected to be worse than that predicted by concentration effects alone. For ZrO<sub>2</sub>, the reflection coefficient is not deleteriously affected by electrostatic effects, and concentration effects account for the decline in reflection coefficient.

### Influence of Concentration on Specific Resistance of BSA Layer

The specific resistance of the BSA layer,  $\alpha$ , was computed from Eq. (9) with the slope at small time ( $t < 90$  min) obtained by least-squares fit to a plot  $(J^{-2} - J_0^{-2})$  versus time. The effect of concentration on viscosity was accounted for by applying the following relationship (15):

$$\mu_{\text{BSA}} = 0.01 \exp (2.44 \times 10^{-3} C_o^2) \quad (11)$$

Figures 4 and 5, which contain plots of  $\alpha$  versus  $C_o$  for ZrO<sub>2</sub> and CdS, respectively, show  $\alpha$  first remains unchanged and then increases to a maximum value before declining again with increasing concentration. Pro-

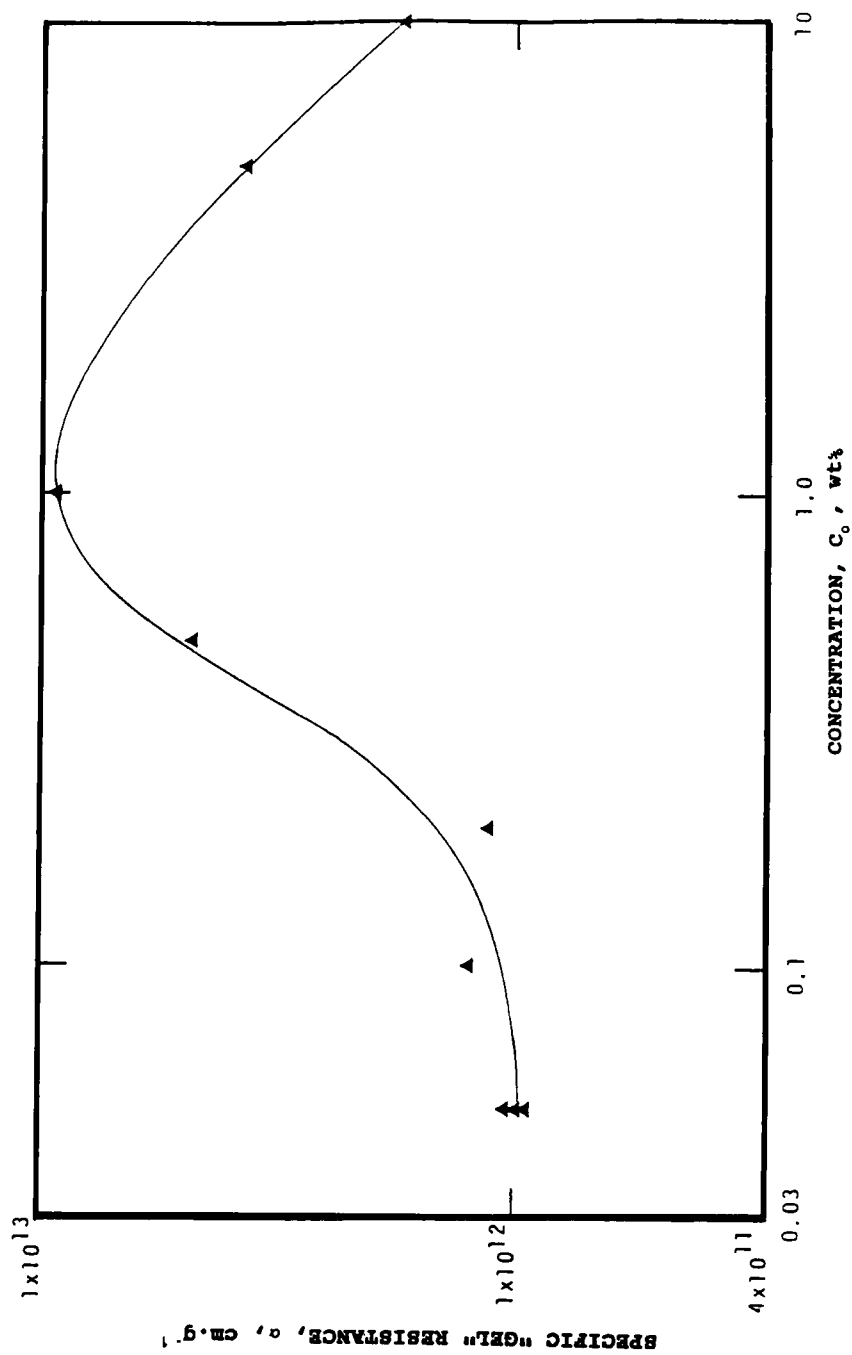


FIG. 4. Variation of specific "gel" resistance with concentration for BSA with  $\text{ZrO}_2$  dynamic membrane. BSA conditions:  $N = 2000$  rpm,  $\text{pH} = 8$ ,  $\Delta P = 138$  kPa,  $T = 30^\circ\text{C}$ .

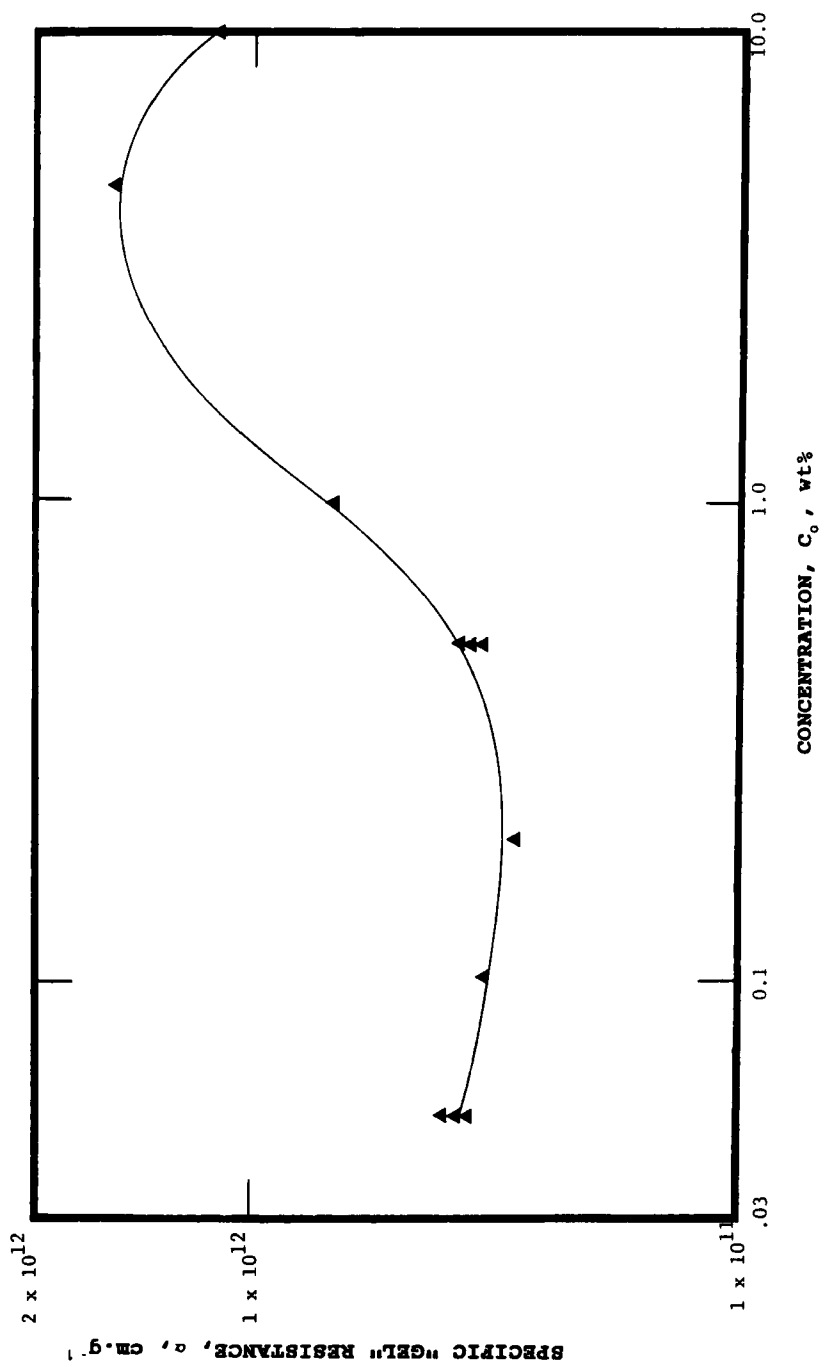


FIG. 5. Variation of specific "gel" resistance with concentration for BSA with CdS dynamic membrane. BSA conditions:  $N = 2000$  rpm,  $\text{pH} = 8$ ,  $\Delta P = 138$  kPa,  $T = 30^\circ\text{C}$ .

files similar to Figs. 4 and 5 were obtained by Rushton et al. (16) for calcium sulfate and magnesium carbonate.

According to Heertjes (17), specific resistance is influenced by the relative effects of bulk concentration and flux, two parameters which are not necessarily independent. As the concentration is increased, the specific resistance is predicted to increase if the decline in flux is predominant over the increase in solute concentration at the membrane and to decline if the reverse is true. If the two effects balance each other, the specific resistance remains invariant with concentration. At low bulk concentration, molecules move separately and easily follow the flow streamlines. The result will be that the molecule, depending on  $\lambda$ , will either enter a pore or block the pore opening. As the concentration is increased, more molecules will arrive near the pores at the same time and pore blocking will increase. The same type of effect observed with decreasing concentration occurs when the flux decreases. As the flux decreases, the molecules will follow the flow streamlines causing the packing density and the specific resistance to increase.

For CdS and ZrO<sub>2</sub>, the effects of increasing concentration and declining flux initially canceled out, causing the specific resistance to remain constant. With further increases in feed concentration, the decline in the flux became predominant, causing the specific resistance to increase. The specific resistance attained a maximum value and started to decline when the flux changed only marginally with an increase in concentration. For CdS, the flux changed only marginally beyond  $C_o = 5.0$  wt%, and  $\alpha$  was therefore at a maximum at this concentration, whereas for ZrO<sub>2</sub> the flux changed only marginally after  $C_o = 1.0$  wt%, and the maximum  $\alpha$  was attained at this value.

## CONCLUSIONS

(1) The reflection coefficient computed from experimental data decreased with increasing BSA concentration at the surfaces of ZrO<sub>2</sub> and CdS dynamic membranes, in general agreement with the trend predicted by a model based on equilibrium partitioning of macromolecules.

(2) For both CdS and ZrO<sub>2</sub> dynamic membranes, the computed specific resistance of the BSA layer first remained invariant, then increased to a maximum value, and then declined as the bulk concentration was increased. The maximum value of the specific resistance coincided with the concentration level beyond which the flux changed only marginally.

## SYMBOLS

$C$	solute concentration (wt%)
$D$	diffusion coefficient ( $\text{cm}^2/\text{s}$ )
$D_c$	concentration-dependent diffusivity for BSA given by Eq. (10)
$J$	filtrate flux ( $\text{cm}/\text{s}$ )
$k$	mass transfer coefficient ( $\text{cm}/\text{s}$ )
$K_c$	constant in Eq. (8)
$N$	rotation rate (rpm)
$R$	fractional rejection = $(1 - C_p/C_o)$
$R_c$	hydraulic resistance of solute layer on membrane surface ( $\text{kPa}/\text{cm} \cdot \text{s}$ )
$Re$	Reynolds number = $2R_i\rho u_i/\mu$
$R_i$	outside radius of inner cylinder (cm)
$S$	small time ( $t < 90$ min) slope of plot of $(J^{-2} - J_0^{-2})$ versus time ( $\text{s}/\text{cm}^2$ )
$Sc$	Schmidt number, $\mu/\rho D$
$t$	time (s)
$u_i$	peripheral velocity of rotating inner cylinder = $2\pi NR_i/60$ ( $\text{cm}/\text{s}$ )
$\alpha$	specific resistance of the solute layer ( $\text{cm}/\text{g}$ )
$\delta_c$	thickness of solute layer (cm)
$\Delta P$	measured pressure difference (kPa)
$\Delta P_F$	pressure difference causing filtration (kPa)
$\varepsilon$	porosity
$\lambda$	ratio of solute to pore diameters
$\mu$	fluid viscosity ( $\text{g}/\text{cm} \cdot \text{s}$ )
$\mu_{\text{BSA}}$	viscosity relationship for BSA given by Eq. (11) ( $\text{cm}/\text{g} \cdot \text{s}$ )
$\rho$	fluid density ( $\text{g}/\text{mL}$ )
$\rho_p$	density of dispersed phase ( $\text{g}/\text{mL}$ )
$\sigma$	reflection coefficient at zero concentration given by Eq. (1)
$\psi$	parameter given in Eq. (2)

## Subscripts

$o$	of the feed
$p$	of the permeate
$s$	of the solute at the surface of the filter medium
$0$	at the beginning of experimentation ( $t = 0$ s)
$180$	after 180 min of filtration
$\infty$	at steady state

## Acknowledgments

This work was supported by the Natural Sciences and Engineering Research Council of Canada. A. K. Turkson was supported by a fellowship from the Government of Ghana.

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Received by editor July 22, 1988